

Attorney's Docket No.: 14184-020001

1642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Brian M. Cali et al.
Serial No. : 10/029,180
Filed : December 22, 2001
Title : NOVEL REGULATORS OF FUNGAL GENE EXPRESSION

Art Unit : 1642
Examiner :

#9

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant submits copies of the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 17 January 2003

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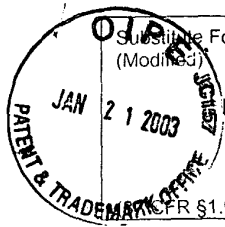
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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 17, 2003
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 Substitute Form PTO-1449
 (Modified)

 U.S. Department of Commerce
 Patent and Trademark Office

 Attorney's Docket No.
 14184-020001

 Application No.
 10/029,180

**Information Disclosure Statement
 by Applicant**

(Use several sheets if necessary)

37 CFR §1.98(b)

 Applicant
 Brian M. Cali et al.

 Filing Date
 December 22, 2001

 Group Art Unit
 1642

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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| | AG | | | | | | |
| | AH | | | | | | |

Foreign Patent Documents or Published Foreign Patent Application

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes | Translation No |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-----------------|----------------|
| | AI | WO 99/25865 | 27 May 1999 | WIPO | | | | |
| | AJ | WO 99/25735 | 27 May 1999 | WIPO | | | | |
| | AK | WO 99/31244 | 24 Jun 1999 | WIPO | | | | |
| | AL | WO 01/29073 | 26 Apr 2001 | WIPO | | | | |
| | AM | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|--|
| | AN | Brakhage, A., "Molecular Regulation of Penicillin Biosynthesis in <i>Aspergillus</i> (<i>Emericella</i>) <i>Nidulans</i> " FEMS Microbiology Letters 148:1-10, 1997. |
| | AO | |
| | AP | |
| | AQ | |

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)